

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		09801848
Filing Date		2001-03-08
First Named Inventor	Christopher Keith	
Art Unit	3693	
Examiner Name	James M. Albert	
Attorney Docket Number	IVEN125462	

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	2	4346442	A	1982-08-24	Musmanno	
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	4	4456957	A	1984-06-26	Schieltz	
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	8	5148365	A	1992-09-15	Dembo	

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	9	5253165	A	1993-10-12	Leiseca	
	10	5297031	A	1994-03-22	Guterman	
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	19	5915245	A	1999-06-02	Patterson, Jr.	

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	44	6792399	B1	2004-09-14	Phillips	

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1	Board of Trade of the City of Chicago, Commodity Trading Manual, 1998, pp. 178-179, 387.	<input type="checkbox"/>
2	Hull, J.C., Introduction to Futures & Options Markets, 2d ed., Prentice Hall, Englewood Cliffs, N.J., 1995, pp. 4-6, 11, 26, 33-34.	<input type="checkbox"/>
3	Livingston, M., Bonds and Bond Derivatives, Blackwell Publishers Inc., Malden, Mass., 1999, pp. 38-41.	<input type="checkbox"/>
4	"More Companies Buying Back Stock," The Salt Lake Tribune, April 9, 2000, < http://proquest.umi.com/pqdweb?index=6&did=52501230&SrchMode=1&sid=21&Fmt=3... >[retrieved November 3, 2005].	<input type="checkbox"/>
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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Kevan L. Morgan/	Date (YYYY-MM-DD)	2006-10-09
Name/Print	Kevan L. Morgan	Registration Number	42015

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